

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS **Box Patent Application** Washington, D. C. 20231

10568 U.S. PTO 09/47/1345

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

nonprovisional patent application of:				
	HATTORI, Fumiya OHMI, Kenichi AlHARA, Katsuyuki YAMADA, Yuki NAKAMURA, Eiji NODA, sa TAKAHASHI, Yujiro KANEKO and Yukio IDE Inventor(s)			
	inventor(e)			
	INITIALIZATION OF PHASE-CHANGE OPTICAL RECORDING MEDIUM Title of Invention			
APPLIC	CATION ELEMENTS ENCLOSED:			
1. <u>X</u>	Specification (total pages 23 including:			
	a. <u>5</u> pages of claims (13 claims)			
	b. <u>1</u> page(s) Abstract			
2. <u>X</u>				
3	Oath or declaration of Applicant(s) (2 total pages)			
	a. Newly executed (original or copy)			
	b Unexecuted			
	c Copy from a prior application (37 CFR 1.63(d))			
	(for continuation/divisional with Item 14 completed)			
4.	Deletion of Inventor(s)			
	Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)			
	and 1.33(b)			
5	Incorporation By Reference			
	The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied			
	in item 3b is considered as being part of the disclosure of the accompanying application and is hereby			
	incorporated by reference therein.			
6	Microfiche Computer Program (Appendix)			
APPLIC	CATION PARTS ENCLOSED:			
7	An assignment of the invention to (cover sheet & document(s))			
8	37 CFR 3.73(b) Statement (when there is an assignee)			
9	Power of Attorney			
	a Newly executed (original or copy)			
	b Unexecuted			
	Conv from a prior application			

Page 2	Attorney Dkt. No. 227 1/00895							
10	Information Disclosure Statement (IDS)/PTO-1449							
	Copies of IDS Citations							
11	A Preliminary Amendment							
2. <u>X</u>	Return Receipt Postcard (MPEP 503)							
13	A small entity statement under 37 C.F.R. §1.9 and §1.27							
	Statement filed in prior application, status still proper and desired							
4	If a Continuing Application, check the appropriate box and supply the requisite information:							
	Continuation of prior application No.: filed							
	Divisional of prior application No.: filed							
	Continuation-in-part (CIP) of prior application No.: filed							
5	Amend the specification by inserting before the first line of page one:This is a continuation divisional of application Serial No filed							
6. <u>X</u>	Priority of application No. <u>10-367529</u> filed in <u>Japan</u> on <u>December 24, 1998</u> . Applicants							
	hereby claim priority under 35 U.S.C. § 119.							
	X A certified copy of priority application No. <u>10-367529</u> is enclosed							
	A certified copy of priority application No has been filed in prior application							

The filing fee is calculated as follows:

S.N. _____ filed _____

17.___ Other (identify) _____

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

					Rate			Fee	
	Number		Number		Small	Other		Small	Other
	Filed		Extra*		Entity	Entity		Entity	Entity
Total	13 - 20	=	. 0	x	\$9	\$ 18	=	\$	\$ 0
Claims				<u></u>					
Indep.	2 - 3	=	0	×	\$ 39	\$ 78	=	\$	\$ 0
Claims		:							
Multiple Dependent				\$130	\$260	=	\$	\$ 0	
Claims Presented: Yes No_X_									
If the difference in column 1 is less than zero,				ro,	Basic Fee			\$ 380	\$ 760
enter "0" in column 2				Total Fee				\$ 760	



18. <u>X</u>	A check in the amount of \$_760.00 to cover the filing fee.							
19	Please charge Deposit Account No. <u>03-3125</u> in the amount of <u>\$</u> for recordation of the Assignment.							
20. <u>X</u>	The Commissioner is hereby authorized to charge any additional fees which may be required in connection							
	with the	e following or credit any overpayment to Deposit Account No. <u>03-3125</u> .						
	<u>X</u>	Filing fees under 37 C.F.R. § 1.16.						
	<u>X</u>	Patent application processing fees under 37 C.F.R. §1.17.						
		The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37						
		C.F.R. §1.311(b).						
21. <u>X</u>	Three o	copies of this sheet are enclosed.						

CORRESPONDENCE ADDRESS:

22.___ Other (identify) _____

Ivan S. Kavrukov Registration No. 25,161 Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036 **United States of Americas**

Tel.: (212) 278-0400 Fax: (212) 391-0525

Respectfully submitted,

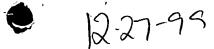
December 23, 1999 Date

Richard F. Jaworski, Reg. No. 33,515

Attorney for Applicants c/o Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036

Tel.: (212) 278-0400







Dkt. 2271/60895

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Kyohji HATTORI, et al.

Serial No.

Group Art Unit:

Date Filed

Concurrently Herewith

Examiner:

For

INITIALIZATION OF PHASE-CHANGE

OPTICAL RECORDING MEDIUM

1185 Avenue of the Americas New York, N.Y. 10036

Assistant Commissioner for Patents **Box Patent Application** Washington, D.C. 20231

EXPRESS MAIL LETTER OF TRANSMITTAL

Express Mail mailing label number: EM157608900US

Date of Deposit: December 23, 1999

I hereby certify that the above-identified application (consisting of a 23-page specification (including 5 pages of claims (13 claims) and a 1 page Abstract), and 7 sheets of formal drawings (Figs. 1-7)), 3 copies of an Application Transmittal Form, certified copy of Japanese Appln. No. 10-367529, and a check for the \$760 filing fee, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Mail Koom Employee

Depositing Express Mail Material

Attorney for Applicant:

IVAN S. KAVRUKOV, Reg. No. 25,161

Cooper & Dunham LLP Tel: (212) 278-0400